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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/523,776	03/11/2000	Pamela L. Zeitlin	49632 71699	5882

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EXAMINER

WANG, SHENGJUN

ART UNIT	PAPER NUMBER
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1617

DATE MAILED: 10/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/523,776

Applicant(s)

ZEITLIN ET AL.

Examiner

Shengjun Wang

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 July 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 45-54 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 45-54 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

Receipt of applicants' amendments and remarks submitted July 24, 2006 is acknowledged.

Claim Rejections 35 U.S.C. 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 45-54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herron (US Patent 4,764,521) in view of Rubenstein et al (IDS, CJ) and Rephaeli (U.S. Patent 5,939,455).

3. Herron teaches generally that substituted aryl carboxylic acids, including substituted 4-phenyl-3-butenic acid are known to be useful for treating respiratory disease such as cystic fibrosis. See, the abstract, columns 1-4, column 12, lines 5, column 17, lines 50-52.

4. Herron does not teach expressly the employment of unsubstituted aryl carboxylic acid, e.g., 4-phenyl-trans-3-butenic acid for treatment of cystic fibrosis.

5. However, Rubenstein et al. teaches unsubstituted aryl carboxylic acid, 4-phenylbutyric acid is also known to be useful for treatment of cystic fibrosis. See, particularly, the abstract.

Rephaeli further teaches that a variety of butyric acid derivatives, including phenyl-butyric acid, cinnamic acid, isobutyramide, phenylacetic acid, vinyl acetic acid, etc, are known to be useful for treatment of cystic fibrosis. See, particularly, column 1, lines 15-29, column 10, lines 17-23, and the claims.

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Therefore, it would have been prima facie obvious to a person of ordinary skill in the art, at the time the claimed the invention was made, to employ 4-phenyl-trans-3-butenic acid for treating cystic fibrosis.

A person of ordinary skill in the art would have been motivated to employ 4-phenyl-trans-3-butenic acid for treating cystic fibrosis because aryl carboxylic acids, with substituent or without substituent on the aryl ring, and wherein the carboxyl group attached to the aryl group through either alkyl or alkenyl, are generally known to be useful for treating cystic fibrosis. The instant compound differing from the prior art compound only in the substituent on the aryl ring, or the double bond at the linker between the aryl and carboxylic group, would have been reasonably expected to be similarly useful for treating cystic fibrosis, absent evidence to the contrary. Regarding claim 22-23, note selecting and/or optimizing an administering method of a pharmaceutical agent is considered within the skill of artisan.

6. Claims 45-54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Faller et al. (WO 99/40883).

7. Faller teaches a method of treating cystic fibrosis comprising administering to a composition comprising butyric acid derivatives, e.g., cinnamic acid. See, particularly, the abstract and the claims.

8. Faller does not teach expressly to employ the particular compounds herein, e.g., 4-phenyl-3-butenic acid.

9. The reference teaches certain compounds that are structural homologs of the instantly claimed compounds, i.e., they differ only by a CH₂ group. Cinnamic acid differs from 4-phenyl-3-butenic acid by a methylene moiety. The instant compounds are structural homologs of the

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reference compounds. One having ordinary skill in the art would have been motivated to prepare the instantly claimed compound because such structurally homologous compounds are expected to possess similar properties. It has been held that compounds that are structurally homologous to prior art compounds are prima facie obvious, absent a showing of unexpected results. In *re Hass*, 60 USPQ 544 (CCPA 1944); In *re Henze*, 85 USPQ 261 (CCPA 1950). Note both 4-phenyl-2-butenic acid or 4-phenyl-3-butenic acid are homologs to cinnamic acid. It should be well understood that cinnamic acid present either in trans or cis form. Therefore, without a particular limitation, cinnamic acid would encompass both trans and cis forms.

Response to the Arguments

Applicants' remarks submitted July 24, 2006 have been fully considered, but are not persuasive.

Applicants first contend that "Herron does not *definitely* teach that Herron's compounds are useful in treating cystic fibrosis." (emphasis added). Applicants continued to assert that Herron's merely provide speculation and "fails to provide a reasonable basis for concluding with any degree of certainty that Herron teaches compounds useful in treating cystic fibrosis." The arguments are untenable. It is noted that Herron teaches the compounds therein are useful for "treating mammal suffering from or susceptible to any condition characterized by an excessive release of leukotrienes." See, the claims. Herron further discloses that evidence shows that patient with cystic fibrosis have excessive release of leukotrienes. Col. 17, lines 47-52. Based on those facts, Herron, as any other skilled artisan, concluded that the compounds should be useful for alleviate some of the symptoms of cystic fibrosis. Thus, Herron has fairly taught the usefulness of the compounds for treatment of cystic fibrosis.

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10. Applicants continue to argue that none of the cited references teach expressly the employment of the elected compound, phenylbutenic acid for treatment of cystic fibrosis. It has been noted the rejection on the record are obvious rejections. Applicants fail to take the cited references as a whole. As stated in the prior office action, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Considering the cited references as whole, one would have noted that butyric acid derivatives, with variation of the length of alkyl chain of the butyric acid, i.e., from 3 carbons to 4 carbons, with (cinnamic acid) or without double bond (4-PBA), and with (as those disclosed by Herron) or without (4-BPA, cinnamic acid etc) phenyl substituents, are known to be useful for treating cystic fibrosis. Therefore, the particular butyric acid derivative herein, 4-phenyl-3-transbutenoic acid, would have reasonably been expected to be similarly useful as 4-phenylbutyric acid.

As discussed in the prior office action, applicants assert an unexpected benefit residing in the claimed invention, but fails to establish the asserted benefit. Regarding the establishment of unexpected results, a few notable principles are well settled. It is applicant's burden to explain any proffered data and establish how any results therein should be taken to be unexpected and significant. See MPEP 716.02 (b). The claims must be commensurate in the scope with any evidence of unexpected results. See MPEP 716.02 (d). Further, A DECLARATION UNDER 37 CFR 1.132 must compare the claimed subject matter with the closest prior art in order to be effective to rebut a prima facie case of obviousness. See, MPEP 716.02 (e). The exhibits A and B have been fully considered. The exhibits are neither clear nor convincing as to presenting

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evidence for unexpected benefit commensurate in scope with the claimed invention. A) The exhibits do not commensurate in scope with claimed invention. It is noted that the general formula in claim 45 actually encompass cinnamic acid. One of ordinary skill in the art would not be able to extrapolate the alleged benefit to the general scope as claimed in claim 45. B) The evidence is not clear and convincing. The exhibits compared cinnamic acids and -phenyl-3-transbutenoic acid. However, it is not clear as to the structural of cinnamic acids, note there are two possible structures for cinnamic acid, trans, and cis. Further, the exhibits lack a detailed explanation for the significance of the differences among the tested compounds. Also it is noted the evidence is not in the form of declaration.

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

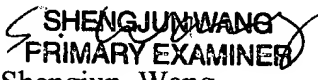
A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shengjun Wang whose telephone number is (571) 272-0632. The examiner can normally be reached on Monday to Friday from 7:00 am to 3:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreeni Padmanabhan, can be reached on (571) 272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


SHENGJUN WANG
PRIMARY EXAMINER
Shengjun Wang
Primary Examiner
Art Unit 1617